



UNITED STATES PATENT AND TRADEMARK OFFICE

Page 1 of 1

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT
10/041,122	01/08/2002	Juha T. Rantala

COMMISSIONER FOR PATENTS
PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

KEY DOCKET NUMBER
GO4-US

FORMALITIES SECTION NO. 4512

OC000000007455914

Date Mailed 12/2002

Gregory R. Muir
579 Anza St.
Mountain View, CA 94041



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

A copy of this notice MUST be returned with the reply.

C. D. Harrison

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/24/2002 HNDRI 00000113 50:188 10041122
01 FC:205 65.00 CH

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re the application of: **RANTALA et al** Attorney Docket No.: G04-US

Serial Number: **10/041,122** Group Art Unit: --

Filed: **01/08/2002** Examiner: --

For: **METHODS AND COMPOUNDS FOR MAKING COATINGS, WAVEGUIDES AND OTHER OPTICAL DEVICES**

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Assistant Commissioner of Patents
Washington, D.C. 20231

Date: April 22, 2002

Dear Sir or Madam:

A Notice to File Missing Parts of Non-Provisional Application was mailed on February 11, 2002 (copy enclosed). In the received Notice it was indicated that the Oath or Declaration was missing. A properly signed Declaration in compliance with 37 CFR 1.63 is enclosed herewith, along with a Request for One Month Extension of Time.

Please debit our Deposit Account # 502188 in the total amount of \$120.00 which covers the \$65 fee due for the late filing of the Declaration (Small Entity) as well as a fee of \$55.00 for the One Month Extension of Time.

Respectfully submitted,

GuideOptics, Inc.
2130 Gold St., Suite 250
P.O. Box 1160
Alviso, California 95002


Gregory R. Muir
Attorney for Applicants
Registration No. 35,293

Tel:(408) 273-4514
Fax:(408) 273-4609

GRM:glh

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

RANTALA et al.

Attorney Docket No.: G04-US

Serial Number:

10/041,122

Group Art Unit: --

Filed:

01/08/2002

Examiner: --

For: **METHODS AND COMPOUNDS FOR MAKING COATINGS, WAVEGUIDES AND OTHER OPTICAL DEVICES**

PETITION FOR ONE MONTH EXTENSION OF TIME

Assistant Commissioner of Patents
Washington, D.C. 20231

Date: April 22, 2002

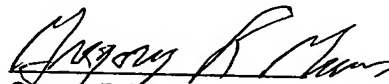
Dear Sir or Madam:

The undersigned hereby petitions for a one-month extension of time in which to file a response to Notice to File Missing Parts dated February 11, 2002.

As requested in our Response to Notice to File Missing Parts of Application, please debit our Deposit Account #502188 for the total amount of \$120.00 which covers the \$65.00 fee due for the late filing of the Declaration (Small Entity) as well as a fee of \$55.00 for the One-Month Extension of time (Small Entity).

In the event any additional fees are due, please charge our Deposit Account No. 502188.

Respectfully submitted,



Gregory R. Muir
Attorney for Applicants
Registration No. 35,293

GuideOptics, Inc.
2130 Gold St., Suite 250
P.O. Box 1160
Alviso, California 95002

Tel: (408) 273-4514
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04/24/2002 HMDR1 00000113 502188 10041122
02 FC:215 55.00 CH



04-23-02

MP\$

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 120.00

Complete if Known

Application Number	10/041,122
Filing Date	01/08/2002
First Named Inventor	RANTALA et al.
Examiner Name	
Group Art Unit	
Attorney Docket No.	GO4-US

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

502188

Deposit Account Name

GUIDEOPTICS, INC.

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
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101	740	201	370	Utility filing fee
106	330	206	165	Design filing fee
107	510	207	255	Plant filing fee
108	740	208	370	Reissue filing fee
114	160	214	80	Provisional filing fee

Fee Paid

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	=
Multiple Dependent	-3** =	X	=

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)
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Fee Description

103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	65.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	55.00
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 120.00

SUBMITTED BY

Name (Print/Type) GREGORY R. MUIR

Registration No. (Attorney/Agent)

35,293

Complete (if applicable)

Telephone (408)273-4514

Signature

Date 04/22/2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.